



Pharmacy Mainform Application

Applicant Information

- Applicant name:
- Principal business address (attach separate sheet if more than one location):
- Telephone number:
- Date established:
- Applicant's practice is a:

<input type="checkbox"/> Solo practitioner (unincorporated)	<input type="checkbox"/> Solo practitioner (incorporated)
<input type="checkbox"/> Corporation (for-profit)	<input type="checkbox"/> Corporation (non-profit)
<input type="checkbox"/> Professional Association	
<input type="checkbox"/> Other (please describe):	

Operations and Activities

- Indicate the percentage of the applicant's operations by type:

Retail	%	Wholesale	%	Mail order	%
Drug Benefit	%	Compounding	%		
Other – please describe:					%
- Annual number of prescriptions filled:

Last 12 months:	<input type="text"/>	Next 12 months:	<input type="text"/>
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- Annual gross receipts:

	in last 12 months	for next 12 months
Prescription sales	\$ <input type="text"/>	\$ <input type="text"/>
Sundries sales	\$ <input type="text"/>	\$ <input type="text"/>
Medical equipment sales	\$ <input type="text"/>	\$ <input type="text"/>
Medical equipment rental	\$ <input type="text"/>	\$ <input type="text"/>
In-home therapy	\$ <input type="text"/>	\$ <input type="text"/>
Other – specify:	\$ <input type="text"/>	\$ <input type="text"/>
- Does the applicant have any international operations? Yes No
- Does the applicant provide services to any of the following: nursing home, hospital, extended care facility, correctional facility, MCO? Yes No
If Yes, please provide a copy of the contract.
- Does the applicant provide pharmacy benefit management services including any of the following: drug utilization review, formulary management and design, medical necessity review, credentialing review, pharmacy data and supporting services? Yes No
If Yes, please attach a list of the five largest clients and provide a copy of a sample contract.



Pharmacy Mainform Application

Staffing Information

12. a. Please indicate the number of employed and contracted staff:

Profession	Employed	Contracted
Pharmacists		
Nurses		
Pharmacy technicians		
Respiratory therapists		
Respiratory therapists		
Physicians		
Other – specify:		

i. Are all the above individuals registered or licensed in accordance with all applicable state and federal regulations? Yes No

If No, please explain in the comments section.

ii. Do you require contracted staff to carry their own professional liability insurance? Yes No

iii. Do you maintain Certificates of Insurance to confirm such coverage? Yes No

b. Has the applicant or have any of the above employees:

i. ever been the subject of disciplinary or investigative proceedings or reprimand by a governmental or administrative agency, hospital or professional association? Yes No

ii. ever been convicted for an act committed in violation of any law or ordinance other than traffic offenses? Yes No

iii. ever been treated for alcoholism or drug addiction? Yes No

iv. ever had any state professional license or license to prescribe or dispense narcotics refused, suspended, revoked, renewal refused or accepted only on special terms or ever voluntarily surrendered same? Yes No

If Yes to any of the above, please explain in the comments section.

c. Provide the name of the applicant's Medical Director and attach a copy of his/her Curriculum Vitae (CV).

Risk Management Procedures

13. Are any drugs imported? Yes No

14. Are all the drugs dispensed FDA approved? Yes No

15. Are there medication administration policies/procedures in place? Yes No

16. Are there medication dispensing policies/procedures in place? Yes No

17. Are there medication storage policies/procedures in place? Yes No



Pharmacy
Mainform Application

Insurance and Claims History

18. Are there protocols for appropriate packaging for delivery to patients in order to maintain the integrity and correct temperature of medications? Yes No
19. Are there quality checks to ensure delivery of medications to the right place? Yes No
20. Are there communication protocols for verification of telephone/verbal orders? Yes No
21. Are there communication protocols for questionable medication orders? Yes No
22. Are there security access measures for controlled drugs and medications? Yes No
23. Are there policies/procedures in place for the use, administrations, and proper disposal of radio-pharmaceuticals? Yes No
24. Has any similar insurance ever been declined or cancelled? Yes No
If Yes, please explain in the comments section.
25. Does any person to be insured have knowledge or information of any act, error, or omission which might reasonably be expected to give rise to a claim against him/her? Yes No
If Yes, please attach complete details including a description of the incident(s).
26. After inquiry have any claims been made against any proposed Insured(s) during the past five (5) years? Yes No
If Yes, please complete a supplemental claims information form for each claim.
27. How many claims have been made in the last five (5) years?
28. a. List prior professional liability insurers for the past five years (if none, please tick box)

Insurer	Dates covered from-to (mm/dd/yy)	Limits of liability per claim / aggregate	Deductible	Premium	Coverage type: occurrence or claims-made
		/			
		/			
		/			
		/			
		/			

- b. If the current/expiring policy is on a claims-made form, what is the retroactive date?



Pharmacy
Mainform Application

29. a. Is the applicant currently insured under a commercial general liability policy including products and completed operations coverage? Yes No

Insurer	Dates covered from-to (mm/dd/yy)	Limits of liability per claim / aggregate	Deductible	Premium	Coverage type: occurrence or claims-made
		/			
		/			
		/			
		/			
		/			

b. If the current/expiring policy is on a claims-made form, what is the retroactive date?

Comments Section

It is understood and agreed that with respect to questions 21 and 22, that if such knowledge or information exists any claim or action arising there from is excluded from this proposed coverage.

Notice to New York applicants: any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any false information, or conceals for the purpose of misleading, information concerning any material thereto, commits a fraudulent insurance act, which is a crime.

The applicant hereby acknowledges that he/she/it is aware that the limit of liability shall be reduced, and may be completely exhausted, by the costs of legal defense and, in such event, the Insurer shall not be liable for the costs of legal defense or for the amount of any judgment or settlement to the extent that such exceeds the limit of liability.

The applicant further acknowledges that he/she/it is aware that legal defense costs that are incurred shall be applied against the deductible amount.

I DECLARE that, after inquiry, the above statements and particulars are true and I have not suppressed or misstated any material fact and that I agree that this application shall be the basis of the contract with the Underwriters.

Name of applicant:

Signature of person authorized to execute on behalf of the applicant:

Name/title of person authorized to execute on behalf of the applicant:

Date:

This application form duly completed, together with any supplementary information, must be signed in ink or by electronic signature by the person indicated. Signing of this form does not bind the applicant or the Underwriters to complete this insurance. **A copy of this application should be retained for your records.**